

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1500 hours** on **Wednesday 10 September 2014** in the **Robert Tong Room**, Queens' Building, Mile End Campus.

AGENDA

Part 1: Introduction	Paper	Committee Lead	Lead
1. Welcome and Apologies	Oral report	D Willis	-
2. Meeting of 04 June 2014:		D Willis	J Morgan
• Non-Confidential Minutes	ARC2014/01		
• Confidential Minutes	ARC2014/01ii		
• Executive Summary	ARC2014/01iii		
3. Matters Arising	ARC2014/02	D Willis	J Morgan
Part 2: Risk Management			
4. 'Deep dive' risk report: Health and safety	ARC2014/03	E Hall	M Richards
• Occupational Health and Safety risk register	ARC2014/03ii		
5. Strategic risk management: Bi-annual report to Council	ARC2014/04	E Hall	S Dilly
6. Insurance arrangements as risk mitigation 2014-15	ARC2014/05	E Hall	J Tirard
7. SMD Partnerships with Barts Health and UCLP	ARC2014/06	E Hall	R Trembath
8. Whistleblowing cases since the last meeting	Oral report	E Hall	J Tirard
Part 3: Statutory and Regulatory Compliance			
9. Legal compliance exceptions report	ARC2014/07	D Willis	M Shore-Nye
Part 4: Internal and External Audit			
10. Progress report on audit recommendations and areas of non-compliance	ARC2014/08	M Tatton	M Shore-Nye
11. External Audit 2013 Management Letter: Progress report on actions	ARC2014/09	K Barrow	J Tirard
12. *External Audit reports (for information only):		K Barrow	PwC

- **Building digital trust – IS and IT challenges for higher education institutions** ARC2014/10i
 - **More than just numbers – FRS 102 and the new education SORP** ARC2014/10i
13. **Progress report on 2013-14 Financial Statements** Oral report K Barrow J Tirard
14. **Review of Internal and External Auditor appointments** ARC2014/11 K Barrow J Tirard

Part 5: Committee Management and Reporting

15. **ARC Annual Report 2013-14 Draft 3** ARC2014/12 D Willis J Morgan
16. **Review of Terms of Reference and Committee Membership** ARC2014/13 D Willis J Morgan
17. ***Agenda for next meeting** ARC2014/14 D Willis J Morgan
18. **Any other business** D Willis

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.