

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1430 hours** on **Tuesday 11 November 2014** in the **Robert Tong Room**, Queens' Building, Mile End Campus.

AGENDA

Part 1: Introduction	Paper	Committee Lead	Lead
1. Welcome and apologies	Oral report	D Willis	-
2. Meeting of 10 September 2014: <ul style="list-style-type: none"> • Non-Confidential minutes • Confidential minutes • Executive summary 	ARC2014/15	D Willis	J Morgan
3. Matters arising	ARC2014/16	D Willis	J Morgan
Part 2: External Audit			
4. Audited Financial Statements 2013-14	ARC2014/17	K Barrow	J Tirard PwC
5. External Audit Opinion on Financial Statements 2013-14 and Management Letter	ARC2014/18	K Barrow	J Tirard
Part 3: Risk Management			
6. Research risk register <ul style="list-style-type: none"> • QMUL draft Research Strategy 2014 	ARC2014/19	E Hall	W J Spence
7. Strategic risk management: (risk register)	ARC2014/20	E Hall	S Dilly
8. Whistleblowing cases since the last meeting	Oral report	E Hall	J Tirard
Part 4: Financial Control			
9. Fraud/Financial irregularities occurring since the last meeting	Oral report	D Willis	J Tirard
10. Value for Money annual report	ARC2014/21	D Willis	M Shore-Nye
Part 5: Internal Audit			
11. Internal Audit reports: <ul style="list-style-type: none"> • Student Housing UUK Compliance 	ARC2014/22	M Tatton	J Tirard KPMG

- **Points based immigration system**

12. Progress report on audit recommendations and areas of non-compliance	ARC2014/23	M Tatton	M Shore-Nye
13. IT major incidents report	ARC2014/24	D Willis	M Shore-Nye

Part 6: Committee Management and Reporting

14. ARC Annual Report 2013-14 Final draft	ARC2014/25	D Willis	J Morgan
15. Committee effectiveness review	ARC2014/26	D Willis	J Morgan
16. Committee schedule of business 2014/15	ARC2014/27	D Willis	J Morgan
17. *Agenda for next meeting	ARC2014/28	D Willis	J Morgan
18. Any other business		D Willis	

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.

To be preceded by 'in camera' meeting with External auditors at 1415.