

## AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1500 hours** on **Wednesday 4<sup>th</sup> February 2015** in the **Robert Tong Room**, Queens' Building, Mile End Campus.

### AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and Apologies	Oral report	D Willis	N/A
2.	Meeting of 11 November 2014: <ul style="list-style-type: none"> <li>• Non-Confidential Minutes</li> <li>• Confidential Minutes</li> <li>• Executive Summary</li> </ul>	ARC2014/29	D Willis	J Morgan
3.	Matters Arising	ARC2014/30	D Willis	J Morgan
Part 2: Risk Management				
4.	'Deep dive' risk report (Student Experience)	ARC2014/31	E Hall	S Dilly
5.	Strategic risk management (risk register)	ARC2014/32	E Hall	S Dilly
6.	Health and Safety annual report 2013-14	ARC2014/33	E Hall	S Dilly M Richards
7.	Whistleblowing cases since the last meeting	Oral report	E Hall	N Borkhataria
Part 3: Financial Control				
8.	Fraud/Financial irregularities occurring since the last meeting	Oral report	D Willis	N Borkhataria
Part 4: Statutory and Regulatory Compliance				
9.	Transparent Approach to Costing (TRAC) 2013-14: Summary report	ARC2014/34	D Willis	N Borkhataria
10.	Counter-Terrorism and Security Bill	Oral report	D Willis	S Dilly
Part 5: Internal and External Audit				
11.	Internal Audit reports: <ul style="list-style-type: none"> <li>• School of Biological and Chemical Sciences</li> <li>• Staff Development Spend</li> <li>• Research Overhead Recovery</li> </ul>	ARC2014/35	M Tatton	KPMG

12.	<b>Progress report on internal audit recommendations and areas of non-compliance</b>	ARC2014/36	M Tatton	M Shore-Nye
13.	<b>Appointment of External Auditors: update from sub-group</b>	ARC2014/37	D Willis	M Shore-Nye

#### **Part 6: Committee Management and Reporting**

14.	<b>Committee effectiveness review: summary report</b>	ARC2014/38	D Willis	J Morgan
15.	<b>Draft Annual schedule of business 2015-16</b>	ARC2014/39	D Willis	J Morgan
16.	<b>*Draft agenda for next meeting</b>	ARC2014/40	D Willis	J Morgan
17.	<b>Any other business</b>	N/A	D Willis	N/A

\*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.