

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Thursday 12th November 2015**, in the Robert Tong room, Queens' Building, Mile End Campus.

The meeting will be preceded by an *in camera* meeting for members with the External Auditors at 1500 hours.

AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and Apologies	Oral report	D Willis	N/A
2.	Meeting of 14 September 2015: <ul style="list-style-type: none"> Non-confidential minutes Confidential minutes Executive summary 	ARC2015/13	D Willis	J Morgan
3.	Matters Arising	ARC2015/14	D Willis	J Morgan
Part 2: External Audit				
4.	Audited Financial Statements 2014–15	ARC2015/15	K Barrow	J Jones Deloitte
5.	External audit opinion on Financial Statements 2014–15 and management representation letter	ARC2015/16	K Barrow	J Jones Deloitte
6.	Update on FRS102 implementation	Oral report	K Barrow	J Jones
Part 3: Risk Management				
7.	'Deep dive' risk report (Cost control, value for money)	ARC2015/17	E Hall	M Shore-Nye
8.	Strategic risk management <ul style="list-style-type: none"> Strategic Risk Register Risk appetite 	ARC2015/18 ARC2015/19	E Hall E Hall	Prof E Burke M Shore-Nye
9.	Whistleblowing cases since the last meeting	Oral report	E Hall	J Jones
Part 4: Financial Control				
10.	Value for Money annual report and strategy	ARC2015/20	D Willis	M Shore-Nye
11.	Fraud/Financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones

Part 5: Statutory and Regulatory Compliance

12.	MHRA Task and Finish Group report	ARC2015/21	D Willis	S Burtles
13.	Legal compliance register	ARC2015/22	D Willis	J Morgan
14.	The Prevent duty	ARC2015/23	D Willis	J Morgan

Part 6: Internal Audit

15.	Internal audit reports: <ul style="list-style-type: none">• School of Medicine and Dentistry	ARC2015/24	M Tatton	KPMG
16.	Progress report on internal audit recommendations and areas of non-compliance	ARC2015/25	M Tatton	M Shore-Nye
17.	Annual review of Internal Auditor appointment	ARC2015/26	M Tatton	M Shore-Nye

Part 7: Committee Management and Reporting

18.	Audit and Risk Committee annual report 2014–15: draft 3	ARC2015/27	D Willis	J Morgan
19.	*CUC HE Code of Governance	ARC2015/28	D Willis	J Morgan
20.	*Committee schedule of business 2015–16	ARC2015/29	D Willis	J Morgan
21.	*Draft agenda for the next meeting	ARC2015/30	D Willis	J Morgan
22.	Any other business	N/A	D Willis	N/A
23.	Dates of meetings 2015–16 <ul style="list-style-type: none">➤ FRS102 workshop on Tuesday 24 November 2015 at 1500 hours, Colette Bowe Room➤ Thursday 04 February 2016 at 1500 hours, Robert Tong Room➤ Thursday 02 June 2016 at 1500 hours, Robert Tong Room			

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.