

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1500 hours** on **Thursday 08 March 2018**, in the Boardroom, Dean Rees House, Charterhouse Square.

AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and apologies	Oral report	D Willis	N/A
2.	Meeting of 14 November 2017: <ul style="list-style-type: none"> • Non-confidential minutes • Confidential minutes • Executive summary 	ARC2017/23	D Willis	S Marshall
3.	Matters arising	ARC2017/24	D Willis	S Marshall
Part 2: Risk Management				
4.	Deep dive: IT	ARC2017/25	N Choudhary	R Davies
5.	Strategic Risk Register	ARC2017/26	N Choudhary	Prof E Burke
6.	Whistleblowing cases since the last meeting	Oral report	D Willis	J Trounson J Morgan
7.	*HEFCE's annual assessment of institutional risk	ARC2017/27	N Choudhary	J Trounson
Part 3: Statutory and Regulatory Compliance				
8.	2016–17 Health, Safety, and Fire annual report summary	ARC2017/28	D Willis	Prof E Burke/ Z Laing
9.	2016–17 TRAC return process approval	ARC2017/29	D Willis	J Trounson
10.	Annual efficiency return	ARC2017/30	M Chadha	L Gibbs
Part 4: Internal and external audit				
11.	Internal audit reports: <ul style="list-style-type: none"> • Risk management • Staff recruitment • School of Biological and Chemical Sciences • Student Housing 	ARC2017/31	M Tatton	L Gibbs KPMG
12.	KPMG report on internal audit recommendations	ARC2017/32	M Tatton	KPMG L Gibbs

13.	External Audit Plan 2017–18 and fees	ARC2017/33	K Barrow	Deloitte J Trounson
-----	---	------------	----------	------------------------

Part 5: Financial Control

14.	Fraud/financial irregularities occurring since the last meeting	Oral report	D Willis	J Trounson
15.	Review of policies: <ul style="list-style-type: none"> • Anti-bribery and Corruption • Anti-Money Laundering • Fraud and Corruption Response Plan • Public Interest Disclosure • Standards of Business Conduct 	ARC2017/34	D Willis	J Jones L Gibbs
16.	Annual review of Internal and External Auditor appointments	ARC2017/35	M Tatton K Barrow	J Trounson

Part 6: Committee Management and Reporting

17.	*Draft agenda for the next meeting	ARC2017/36	D Willis	S Marshall
18.	Any other business	N/A	D Willis	N/A
19.	Dates of meetings 2017–18			
	➤ Thursday 14 June 2018 at 1500 hours, Robert Tong Room.			

*will be taken without discussion unless a request to open the item for debate is sent to the Chair or Secretary prior to the start of the meeting.