

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Thursday 04 October 2018**, Robert Tong Room, Queens' Building, Mile End.

The meeting will be preceded by an *in camera* meeting for members with the Internal Auditors at 1500 hours.

AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and apologies	Oral report	D Willis	N/A
2.	Meeting of 14 June 2018: <ul style="list-style-type: none"> Non-confidential minutes Confidential minutes Executive summary 	ARC2018/01	D Willis	N Lewycky
3.	Matters arising	ARC2018/02	D Willis	N Lewycky
4.	Horizon scanning	ARC2018/03	D Willis	Prof C Bailey
Part 2: Risk Management				
5.	Strategic Risk Management	ARC2018/04	N Choudhary	L Gibbs
6.	Whistleblowing cases since the last meeting <ul style="list-style-type: none"> Annual summary of disclosures 2017–18 	ARC2018/05	D Willis	J Morgan
Part 3: Statutory and Regulatory Compliance				
7.	Annual report on research integrity	ARC2018/06	D Willis	Prof B Spence
8.	Update on Health and Safety compliance	ARC2018/07	D Willis	J Morgan
Part 4: External Audit				
9.	External audit 2016–17 management letter: progress report on actions	ARC2018/08	K Barrow	J Jones Deloitte
10.	External audit 2017–18 interim comments	Oral report	K Barrow	J Jones Deloitte
Part 5: Internal Audit				
11.	Planned internal audit reports: <ul style="list-style-type: none"> Preparing for REF (2017–18) 	ARC2018/09	M Tatton	KPMG

12.	Progress report on internal audit recommendations	ARC2018/10	M Tatton	KPMG
13.	Internal audit annual report 2017–18	ARC2018/11	M Tatton	KPMG L Gibbs

Part 6: Financial Control

14.	Value for money annual report	ARC2018/12	M Chadha	L Gibbs
15.	Fraud/financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones

Part 7: Committee Management and Reporting

16.	*Audit and Risk Committee Annual Report 2017–18 Draft 1	ARC2018/13	D Willis	N Lewycky
17.	*Draft agenda for the next meeting	ARC2018/14	D Willis	N Lewycky
18.	Any other business	N/A	D Willis	N/A
19.	Dates of meetings 2018–19			
	➤ Wednesday 14 November 2018 at 1500 hours, Robert Tong Room, Queens' Building, Mile End.			
	➤ Wednesday 13 March 2019 at 1500 hours, Board Room, Dean Rees House, Charterhouse Square.			
	➤ Tuesday 11 June 2019 at 1500 hours, Room 2.07, Garrod Building, Whitechapel.			

*will be taken without discussion unless a request to open the item for debate is sent to the Chair or Secretary prior to the start of the meeting.