

## AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Thursday 03 October 2019**, location TBC.

The meeting will be preceded by an *in camera* meeting for members with the Internal Auditors at 1500 hours.

### AGENDA

Part 1: Introduction	Paper	Committee Lead	Lead
1. Welcome and apologies	Oral report	D Willis	N/A
2. Meeting of 11 June 2019: <ul style="list-style-type: none"> <li>• Non-confidential minutes</li> <li>• Confidential minutes</li> <li>• Executive summary</li> </ul>	ARC2019/xx	D Willis	N Lewycky
3. Matters arising	ARC2019/xx	D Willis	N Lewycky
4. Deep dive presentation (TBC)	ARC2019/xx	D Willis	
Part 2: Risk Management			
5. Strategic Risk Management	ARC2019/xx	N Choudhary	C Murray
6. Whistleblowing cases since the last meeting <ul style="list-style-type: none"> <li>• Annual summary of disclosures 2018–19</li> </ul>	ARC2019/xx	D Willis	J Morgan
Part 3: Statutory and Regulatory Compliance			
7. Annual report on research integrity	ARC2019/xx	D Willis	Dr S Ellis
8. Update on Health and Safety compliance	ARC2019/xx	D Willis	J Morgan
Part 4: External Audit			
9. External audit 2017–18 management letter: progress report on actions	ARC2019/xx	K Barrow	J Jones Deloitte
10. External audit 2018–19 interim comments	Oral report	K Barrow	J Jones Deloitte
Part 5: Internal Audit			
11. Planned internal audit reports:	ARC2019/xx	M Tatton	KPMG
12. Progress report on internal audit recommendations	ARC2019/xx	M Tatton	KPMG

13.	<b>Internal audit annual report 2018–19</b>	ARC2019/xx	M Tatton	KPMG
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#### **Part 6: Financial Control**

14.	<b>Value for money annual report</b>	ARC2019/xx	M Chadha	J Morgan
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15.	<b>Fraud/financial irregularities occurring since the last meeting</b>	Oral report	D Willis	J Jones
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#### **Part 7: Committee Management and Reporting**

16.	<b>*Audit and Risk Committee Annual Report 2018–19 Draft 1</b>	ARC2019/xx	D Willis	N Lewycky
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17.	<b>*Draft agenda for the next meeting</b>	ARC2019/xx	D Willis	N Lewycky
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18.	<b>Any other business</b>	N/A	D Willis	N/A
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#### **19. Dates of meetings 2019–20**

- Monday 11 November 2019 at 1500 hours, Dean Rees House Boardroom, Charterhouse Square.
- Thursday 12 March 2020 at 1500 hours, Robert Tong Room, Mile End.
- Wednesday 10 June 2020 at 1500 hours, Robert Tong Room, Mile End.

\*will be taken without discussion unless a request to open the item for debate is sent to the Chair or Secretary prior to the start of the meeting.