



Progress report on internal audit recommendations

Outcome requested:	Audit and Risk Committee is asked to consider the internal audit recommendation tracking report.
Executive Summary:	The report summarises the current status of all internal audit recommendations.
QMUL Strategy: strategic aim reference and sub-strategies [e.g., SA1.1]	Internal audit supports all areas of the strategy.
Internal/External regulatory/statutory reference points:	The CUC Higher Education Code of Governance The Memorandum of assurance
Strategic Risks:	16. Compliance
Equality Impact Assessment:	N/A
Subject to prior and onward consideration by:	By SET prior to consideration by Audit and Risk Committee
Confidential paper under FOIA/DPA	No
Timing:	Biannual report to the Committee.
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Date:	26 September 2019
Senior Management/External Sponsor	Jonathan Morgan, Registrar and Secretary



Internal audit recommendation tracking

Queen Mary University of London

Internal Audit 2019/20

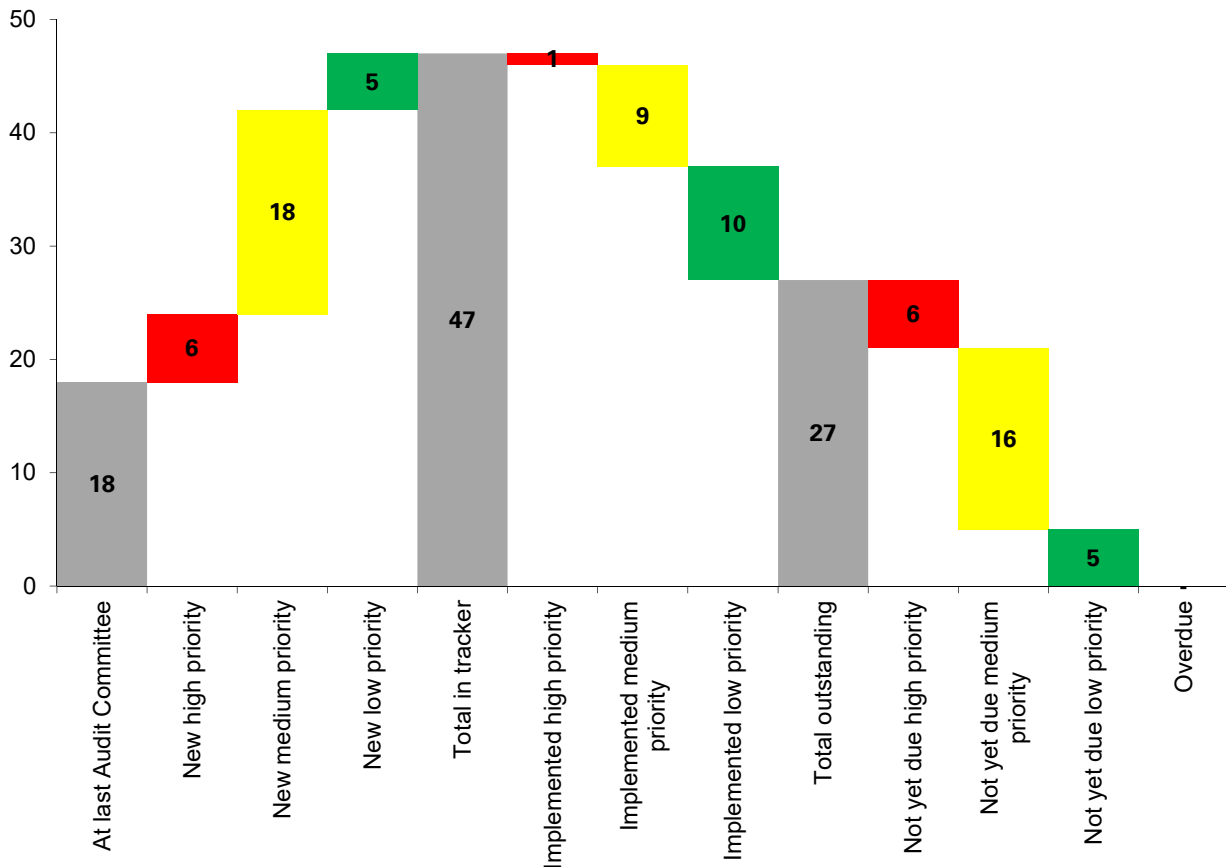
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September 2019

Recommendation implementation

This report summarises the current status of all internal audit recommendations.

We have shown below of the progress being made by QMUL to implement recommendations falling due as at 31 August 2019:



Commentary

22 new recommendations have been raised from the four internal audit reports presented at the June Audit and Risk Committee (Financial Management, Managing Partnerships, PGR Admissions and Overseas Working).

QMUL has cleared 20 recommendations since the Audit and Risk Committee, including the high priority recommendation within our 2071/8 recruitment review.

Note the above excludes the recommendations raised in relation to our 2018/19 Research Governance Review. These recommendations will be added to the tracker following the consideration of the report at the Audit and Risk Committee.



The information contained herein is of a general nature and is not intended to address the circumstances of any particular individual or entity. Although we endeavour to provide accurate and timely information, there can be no guarantee that such information is accurate as of the date it is received or that it will continue to be accurate in the future. No one should act on such information without appropriate professional advice after a thorough examination of the particular situation.

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