

## AUDIT AND RISK COMMITTEE

A meeting of the Committee will be held at **1500 hours** on **Thursday 12 March 2020**, in the Robert Tong Room, Queens' Building, Mile End.

### AGENDA

	Paper	Committee Lead	Lead
1. <b>Welcome and apologies</b>	Oral report	D Willis	N/A
2. <b>Minutes and executive summary of 11 November 2019 and minutes of 23 January 2020</b>	ARC2019/28	D Willis	N Lewycky
3. <b>Matters arising</b>	ARC2019/29	D Willis	N Lewycky
• <b>Late external audit items</b>	ARC2019/29i		J Morgan
• <b>Information governance update</b>	ARC2019/29ii		J Morgan
4. <b>Covid-19 update</b>	ARC2019/30	D Willis	S Gupta
5. <b>Deep dive presentation (Student Experience)</b>	ARC2019/31	D Willis	Prof S Marshall
6. <b>Value for Money</b>	ARC2019/32	D Willis	J Morgan K Ansell
7. <b>Strategic Risk Register</b>	ARC2019/33	D Willis	Dr C Murray
8. a) <b>Planned internal audit reports:</b>	ARC2019/34	M Tatton	KPMG
• <b>Cyber security</b>			
• <b>Contracting</b>			
• <b>Strategic KPIs</b>			
b) <b>Internal audit progress report</b>			
9. a) <b>Update on internal audit recommendations</b>	ARC2019/35	M Tatton	KPMG J Morgan
b) <b>Business continuity recommendation responses</b>			
10. <b>External Audit Plan 2019–20 and fees</b>	ARC2019/36	D Willis	L Parr-Morley Deloitte
11. <b>Whistle blowing cases since the last meeting</b>	Oral report	D Willis	J Morgan
12. <b>Fraud/financial irregularities occurring since the last meeting</b>	Oral report	D Willis	L Parr-Morley
13. <b>Committee leads</b>	ARC2019/37	D Willis	D Willis

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|-----|---|-------------|----------|---------------|
| 14. | a) <b>Annual review of Internal and External auditor appointments</b> | ARC2019/38  | M Tatton | L Parr-Morley |
|     | b) <b>Tendering process for external auditor appointment</b>          | ARC2019/38i |          |               |
| 15. | <b>*Draft agenda for the next meeting</b>                             | ARC2019/39  | D Willis | N Lewycky     |
| 16. | <b>Any other business</b>   | N/A         | D Willis | N/A           |
| 17. | <b>Dates of meetings 2019–20</b>                                      |             |          |               |
|     | ➤ Wednesday 10 June 2020 at 1500 hours, Robert Tong Room, Mile End.   |             |          |               |

\*will be taken without discussion unless a request to open the item for debate is sent to the Chair or Secretary prior to the start of the meeting.