

## AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Thursday 28 September 2023**,  
Room 3.05, Department W.

The meeting will be preceded by an *in camera* meeting for members with the Internal  
Auditors at 1500 hours.

### AGENDA

	Paper	Lead
<b>1. Welcome, apologies and declaration of interests</b>	Oral report	P Thompson
<b>2. Risk management</b>		
a) TO CONSIDER an update on the strategic risk register (40 mins)	ARC23/01	C Bailey
• Update on exposure to RAAC	Oral report	
b) TO CONSIDER an update on JISC cyber security questions (10 mins)	ARC23/02	S Ellis
c) TO CONSIDER the annual report on research integrity for 2022–23 (10 mins)	ARC23/03	S Ellis
<b>3. External audit</b>		
a) TO CONSIDER the progress on actions from the 2022 management letter (10 mins)	ARC23/04	K Kröger
b) TO CONSIDER the interim comments on the 2023 audit (10 mins)	Oral report	BDO
c) TO CONSIDER the draft narrative for the Financial Statements 2022–23 (30 mins)	ARC23/05	K Kröger
<b>4. Internal audit</b>		
a) TO APPROVE the internal audit plan final draft 2023–24 and TO CONSIDER internal audit progress report (15 mins)	ARC23/06	KPMG
b) TO CONSIDER the planned internal audit reports (10 mins)	ARC23/07	KPMG
<b>5. Standing reports</b>		
a) TO NOTE any disclosures under the Whistle blowing Procedure since the last meeting (2 mins)	Oral report	J Morgan
b) TO NOTE any fraud or financial irregularities occurring since the last meeting (3mins)	Oral report	K Kröger

## 6. Committee management and reporting

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|-----------------------------------------------------------------------------------------------|----------|------------|
| a) TO CONFIRM the minutes of the meeting held on 22 June 2023 (2 mins)                        | ARC23/08 | P Thompson |
| b) TO NOTE the matters arising (3 mins)                                                       | ARC23/09 | P Thompson |
| c) TO CONSIDER the Committee terms of reference, membership and effectiveness review (5 mins) | ARC23/10 | N Lewycky  |
| d) TO CONSIDER the Audit and Risk Committee Annual Report 2022–23 Draft 1 (10 mins)           | ARC23/11 | P Thompson |
| e) TO NOTE the draft agenda for the next meeting (2 mins)                                     | ARC23/12 | P Thompson |

## 7. Any other business

N/A P Thompson

## 8. Dates of meetings 2023–24

- Tuesday 14 November 2023 at 1515 hours, Rooms 2.16/2.17, Department W.
- Tuesday 12 March 2024 at 1500 hours, TBC.
- Tuesday 18 June 2024 at 1500 hours, TBC.