

## 7 Preparing ATLAS data for education worldwide

Respect your parents. They passed school without Google.

Anon [190]

This chapter discusses the education work that forms part of this thesis - [ATLAS Open Data](#). The [ATLAS Open Data](#) project provides open-source access to measured data, simulation, resources, and documentation for the purpose of education. [ATLAS](#) was the first [LHC](#) experiment to release real 13 TeV collision data [166, 191]. The development and testing of specific resources related to the  $t\bar{t}Z$   $2\ell OS$  process are discussed in this chapter. It is important to point out however, that many other resources unrelated to the  $t\bar{t}Z$   $2\ell OS$  process were also developed. All data and resources can be accessed from the [ATLAS Open Data](#) website [148]. This chapter is structured as follows:

1. discussion of the Histogram Analyser;
2. discussion of [ATLAS Open Data](#) Jupyter notebooks.

The author's specific contribution was to:

- create a data pipeline to go from 13 TeV data used for physics analysis to simplified data formats, which then allowed the creation of datasets that could be used for the  $t\bar{t}Z$   $2\ell OS$  Histogram Analyser and Jupyter notebooks;
- create the 13 TeV datasets used as input for Open Data analyses, including those used in the  $t\bar{t}Z$   $2\ell OS$  Histogram Analyser and Jupyter notebooks;
- write example physics analyses for use with 13 TeV [ATLAS Open Data](#), for example the  $t\bar{t}Z$   $2\ell OS$  Histogram Analyser and Jupyter notebooks;

- write corresponding documentation for 13 TeV datasets and example analyses, similar to the accompanying explanations given throughout this chapter;
- test 13 TeV datasets and example analyses, for example through the  $t\bar{t}Z$   $2\ell OS$  Histogram Analyser and Jupyter notebooks.

## 7.1 The data

The 13 TeV [ATLAS](#) Open Data release constitutes  $10 \text{ fb}^{-1}$  of experimental data, which is approximately 1/14th of the data collected by [ATLAS](#) in Run 2.  $10 \text{ fb}^{-1}$  correspond to approximately 1000 trillion proton-proton collisions. The whole release is in .root file format, along with csv file formats for some specific processes. The variables present in the datasets were summarised in Table 4.4.1, and further information can be found in Ref. [166]. The data can be accessed through the [ATLAS](#) Open Data portal [148] or [CERN](#) Open Data portal [149]. Analysis of these data is possible through a number of tools, including the Histogram Analyser (Section 7.2) and Jupyter notebooks (Section 7.3)

## 7.2 Histogram Analyser

The Histogram Analyser is one of the main web-based resources that was developed for using [ATLAS](#) data for education. It allows students to apply selection requirements to histograms without the need to use computer code. It is possible to apply selection requirements on eight different variables, all of which are presented as individual histograms. This section introduces and covers the  $t\bar{t}Z$  Histogram Analyser, the individual histograms that form it, and conclusions that can be drawn from three different signal regions. The  $t\bar{t}Z$  Histogram Analyser is focused on because the author of this thesis was the main developer.

### 7.2.1 Introduction

The [ATLAS](#) Open Data Histogram Analyser [192, 193] is a web-based tool for fast, cut-based analysis of data, allowing to visualise data using online histograms with only a computer mouse. This tool shows how to differentiate between physics processes. By applying cuts to data, specific physics processes (signal) can be isolated from the background. The webpage [193] displays nine histograms of variables which can be used to isolate signal events. One can use their cursor to apply selections to a particular variable. Cutting on one histogram cuts the whole datasets, therefore changing the distributions of all 9 histograms - the effect on the other variables will be shown immediately. The Histogram Analyser helps in understanding the data and the relationship between the signal and background processes. It can simplify and speed-up the selection of cuts, before coding an analysis. The Histogram Analyser is used for an initial look at the  $t\bar{t}Z$   $2\ell OS$  process.

### 7.2.2 The $t\bar{t}Z$ Histogram Analyser

The  $t\bar{t}Z$  Histogram Analyser is used to help visualise rare top-quark measured data and simulations. This Histogram Analyser searches for rare top-quark processes. Data are shown by the black dots, with error bars. The error bars are statistical. The three main processes are  $t\bar{t}Z$  signal,  $t\bar{t}$  background and  $Z$  background. This Histogram Analyser also includes minor backgrounds, labelled as ‘Other’ in red. Minor backgrounds are required for data to match the total simulation. ‘Other’ includes

single top production,  $WZ$  and  $ZZ$  diboson production and  $t\bar{t}W$ . Each process is represented by a different colour in the Histogram Analyser.

The Histogram Analyser displays nine histograms, shown in Figure 7.2.1 and described in the following.

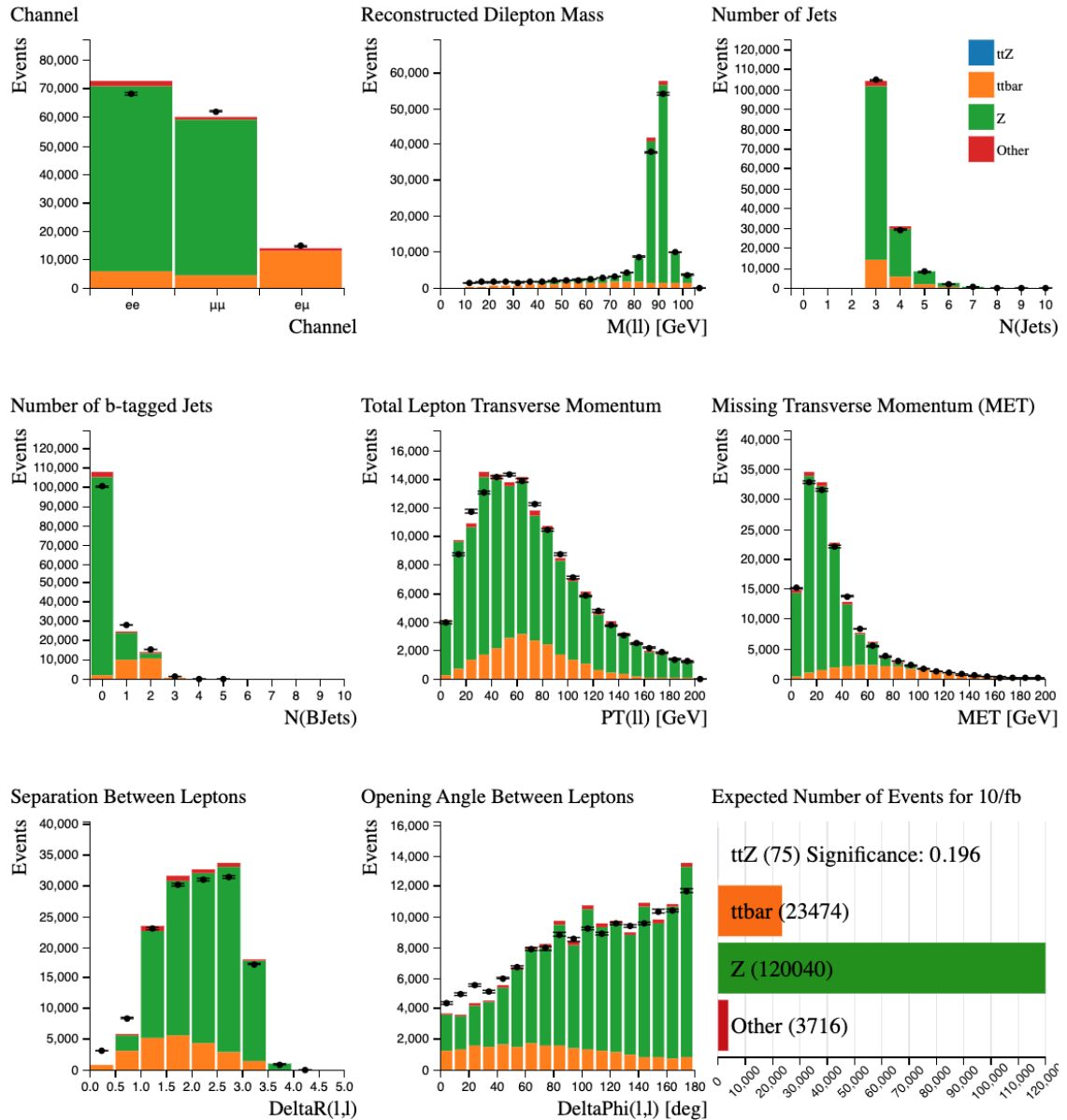


Figure 7.2.1:  $t\bar{t}Z$  Histogram Analyser before any selections are applied. The 9 histograms are (top left) Channel, (top middle) Reconstructed Dilepton Mass, (top right) Number of Jets, (centre left) Number of b-tagged Jets, (centre middle) Total Lepton Transverse Momentum, (centre right) Missing Transverse Momentum, (bottom left) Separation Between Leptons, (bottom middle) Opening Angle Between Leptons, (bottom right) Expected Number of Events.

### 7.2.3 Expected Number of Events for $10 \text{ fb}^{-1}$

This histogram shows the number of events expected to be detected, reconstructed and recorded by ATLAS for 10 inverse femtobarn ( $10 \text{ fb}^{-1}$ ) of data, before any additional selections are made on the Histogram Analyser.

The expected number of real data events reconstructed and recorded by ATLAS is different to the number of events produced by real collisions. Some events will not be reconstructed due to the way the detector is constructed, the resolution of the sub-detectors, reconstruction efficiency and other inefficiencies.

Table 7.2.1 shows the cross-sections used by ATLAS Open Data [194], along with the expected number of events before applying additional cuts with the Histogram Analyser. With no cuts, we have 75  $t\bar{t}Z$  events, with many more background events. The majority of the background at this point is  $Z$  boson production, which can change depending on the cuts applied.

Process	Cross-section (pb)	Expected # of events
$t\bar{t}Z$	0.08258096	75
$t\bar{t}$	452.693559	23474
$Z$	3901.1616	120040

Table 7.2.1: Cross-sections used for the different processes of the  $t\bar{t}Z$  Histogram Analyser [194], along with the expected number of events before any additional cuts are applied in the Histogram Analyser.

The **significance** of  $t\bar{t}Z$  quantifies how "significant" the  $t\bar{t}Z$  simulation sample is with respect to the background. It is calculated by the simplified equation:

$$\frac{\text{Number of } t\bar{t}Z \text{ events}}{\sqrt{\text{Number of background events}}}. \quad (7.2.1)$$

**A larger significance value indicates better extraction of the  $t\bar{t}Z$  signal amongst the backgrounds.**

#### 7.2.4 Preselections

Some pre-selections were applied to reduce the size of the datasets used as inputs to the  $t\bar{t}Z$  Histogram Analyser so that the website can run quicker. These pre-selections include:

- exactly 2 leptons are required;
- decays to taus or hadrons are removed;
- events with <3 jets are removed;

#### 7.2.5 The Histograms

##### Channel

The leptonic decay channels are shown in this first histogram in the top left: dielectron  $ee$ , dimuon  $\mu\mu$  and electron-muon  $e\mu$ .

## Reconstructed Dilepton Mass, $M(\ell\ell)$

The “Reconstructed Dilepton Mass” histogram displays the mass reconstructed from the two leptons in the final state. For  $t\bar{t}Z$  signal and  $Z$  background, these would originate from a  $Z$  boson. With no cuts, this peaks at 90 GeV, due to the huge  $Z$  boson contribution.

## Number of Jets, $N_{\text{Jets}}$

The “Number of Jets” histogram displays the number of jets found in the event.

## Number of $b$ -tagged Jets, $N(\text{BJets})$

Jets originating from  $b$ -quarks are identified and labelled, or **tagged**, using so-called  $b$ -tagging algorithms.  $b$ -tagged jets are expected in top quark decays, but not in leptonic  $W$  or  $Z$  boson decays.

## Total Lepton Transverse Momentum, $PT(\ell\ell)$

Total Lepton Transverse Momentum is the vectorial sum of the transverse momenta of the observed charged leptons.

For  $Z$  boson events, total lepton transverse momentum peaks at low values since the transverse momenta of both leptons mostly cancel each other. For the other processes this cancellation is not as pronounced, their distributions peak at between 60 and 90 GeV. This is illustrated in Figure 7.2.2.

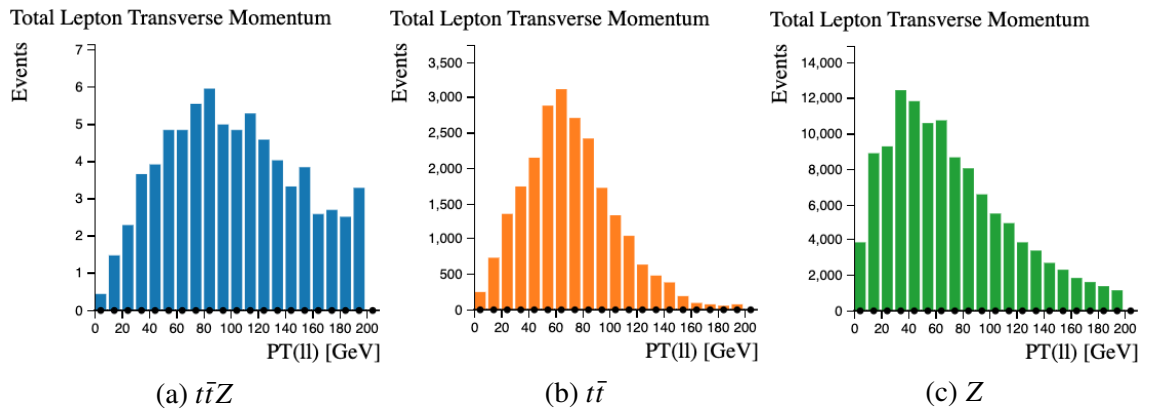


Figure 7.2.2: Total Lepton Transverse Momentum ( $PT(\ell\ell)$  [GeV]) distributions for (a)  $t\bar{t}Z$ , (b)  $t\bar{t}$ , (c)  $Z$ .

## Missing Transverse Momentum, MET

In the LHC, the initial energy of the colliding partons (quarks or gluons) along the beam axis is not known. This is due to the energy of each proton being shared and constantly exchanged between its constituents.

However, the initial momentum of particles travelling transverse to the beam axis is zero. Therefore, any net momentum in the transverse direction indicates missing transverse momentum.

Missing transverse momentum is used to infer the presence of non-detectable particles such as the neutrino. It is also expected to be a signature of many predicted physics events beyond the Standard Model, for example the lightest supersymmetric particle.

The standard abbreviation for missing transverse momentum is MET, for historical reasons.

$t\bar{t}$  decays to two leptons have two neutrinos in the final state while  $Z$  boson decays to charged leptons do not. This is illustrated in Figure 7.2.3 by the fact that the  $t\bar{t}$  MET distribution peaks at higher values than the MET distributions of  $t\bar{t}Z$  and  $Z$ .

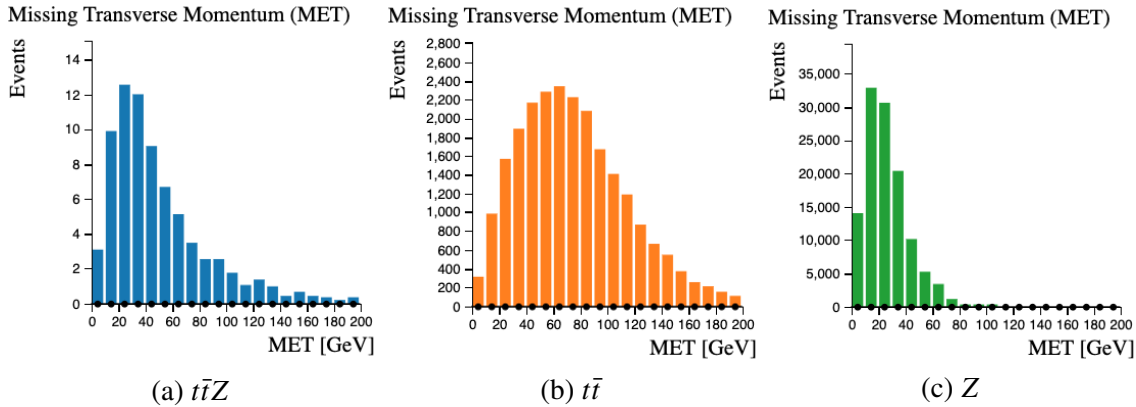


Figure 7.2.3: Missing Transverse Momentum (MET [GeV]) distributions for (a)  $t\bar{t}Z$ , (b)  $t\bar{t}$ , (c)  $Z$ .

### Opening Angle Between Leptons, DeltaPhi(l,l)

This is the opening angle, measured in phi  $\phi$ , between the two leptons. The azimuthal angle  $\phi$  is measured from the  $x$ -axis, around the beam.

If the leptons are emitted back-to-back, this is displayed on the histogram as  $180^\circ$ .  $Z$  events show a peak at high values in contrast to all other processes, as shown in Figure 7.2.4. The reason  $Z$  events peak at higher values than other processes is because the leptons from the  $Z$  decay are emitted close to back-to-back.

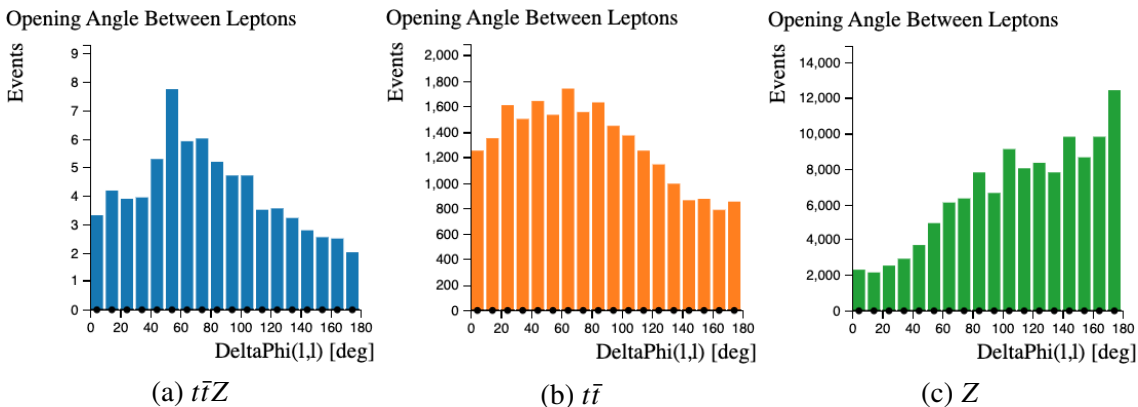


Figure 7.2.4: DeltaPhi(l,l) distributions for (a)  $t\bar{t}Z$ , (b)  $t\bar{t}$ , (c)  $Z$ .

## Separation Between Leptons, DeltaR(l,l)

Separation, ( $\Delta R$ ), is calculated using the following equation:

$$(\Delta R)^2 = (\Delta\phi)^2 + (\Delta\eta)^2, \quad (7.2.2)$$

where  $\phi$  is the azimuthal angle between leptons and  $\eta$  is the pseudorapidity.

Figure 7.2.5 shows that  $t\bar{t}Z$  events show a peak between 1.0 and 1.5, which is lower values than other processes, with  $t\bar{t}$  peaking between 1.5 and 2.0, and  $Z$  peaking between 2.5 and 3.0.

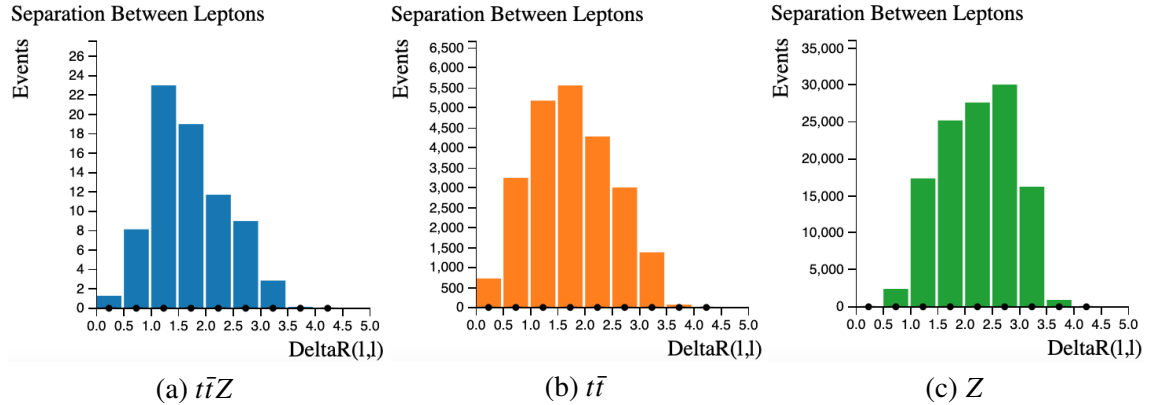


Figure 7.2.5: DeltaR(l,l) distributions for (a)  $t\bar{t}Z$ , (b)  $t\bar{t}$ , (c)  $Z$ .

## 7.2.6 Selections for 2l-Z-2b6j

Some of the variables presented in the histograms of the  $t\bar{t}Z$  Histogram Analyser are shown pictorially in Figure 7.2.6.

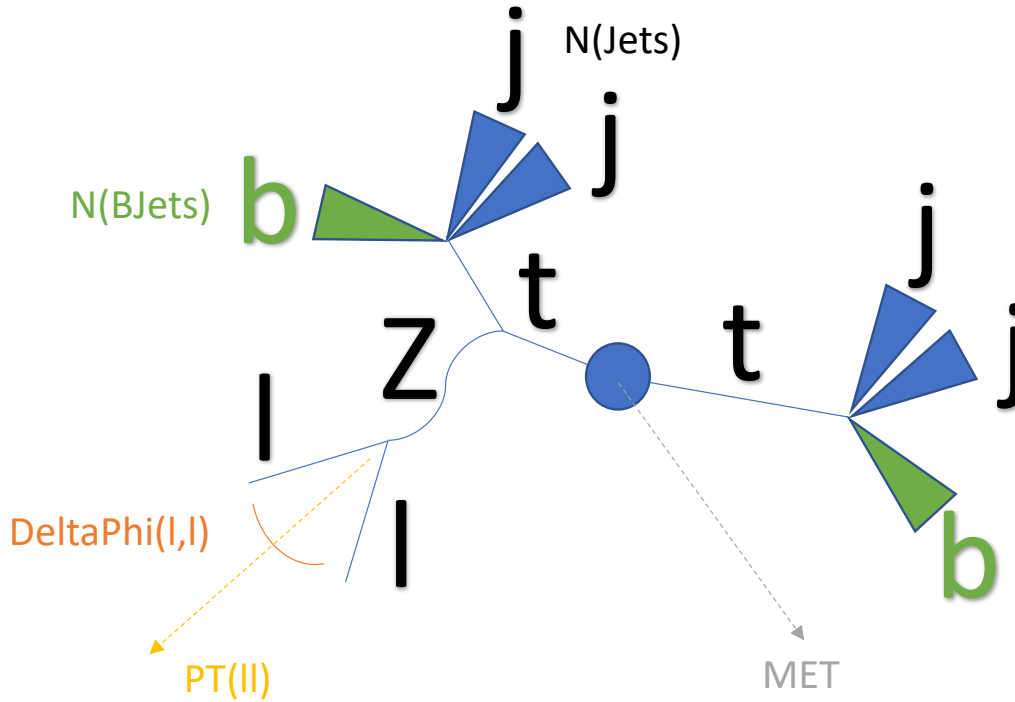


Figure 7.2.6: Schematic diagram of a  $t\bar{t}Z$  decay, with some of the variables presented in the histograms of the  $t\bar{t}Z$  Histogram Analyser labelled. Antiparticles are not labelled because the  $Z$  boson could be radiated from either the top or antitop.

The selections needed to define the  $2\ell$ - $Z$ - $2b6j$  region in the  $t\bar{t}Z$  Histogram Analyser are:

- only the  $ee$  and  $\mu\mu$  **Channels**;
- **Reconstructed Dilepton Mass** between 80 and 100 GeV;
- **Number of Jets** at least 6;
- **Number of b-tagged Jets** at least 2.

All requirements imposed so far are requirements for the  $2\ell$ - $Z$ - $2b6j$  signal region (see Table 5.2.2). The remaining variables are not used in the definitions of the final signal regions of the main analysis for this thesis (Section 6), but are used in the [Multi-Variate Analysis \(MVA\)](#) to be described in Section 6. Therefore, exploring these variables in the Histogram Analyser can give some intuition as to what the [MVA](#) is doing to form signal-rich regions - a key learning objective of the Histogram Analyser.

These further selections are found to be optimal for increasing significance in the  $t\bar{t}Z$  Histogram Analyser  $2\ell$ - $Z$ - $2b6j$  region:

- **PT(l)** > 30 GeV;
- **MET** < 80 GeV;
- **DeltaPhi(l,l)** <  $140^\circ$ ;
- **Separation** < 3.



The selections for the  $t\bar{t}Z$   $2\ell$ OS channel  $2\ell$ -Z-2b6j region are shown in Table 7.2.2, along with the background they most help reduce. Significance achieved after making each selection sequentially is also shown in Table 7.2.2.

Variable	Selection	To reduce	Significance afterwards
Channel	$e^+e^-$ or $\mu^+\mu^-$	$t\bar{t}$	0.197
M(l1)	$80 < M(l1) < 100$ GeV	$t\bar{t}$	0.179
N(Jets)	$\geq 6$	Z	0.522
N(BJets)	$\geq 2$	Z	0.885
PT(l1)	$> 30$ GeV	Z	0.896
MET	$< 80$ GeV	$t\bar{t}$	0.944
DeltaPhi(1,1)	$< 140^\circ$	Z	0.968
DeltaR(1,1)	$< 3$	Z	0.971

Table 7.2.2: Selections for the  $t\bar{t}Z$   $2\ell$ OS Histogram Analyser  $2\ell$ -Z-2b6j region, along with the background process that each selection most helps reduce, and the significance achieved after making each selection. Significance quoted is by applying these selections in order.

After each selection, both the data points and the simulated Monte Carlo distributions change. The data and simulated Monte Carlo are not exactly the same, but the general agreement is very good. This shows that these processes are well understood and well modelled.

These selections are shown in Figure 7.2.7, increasing significance to 0.971.

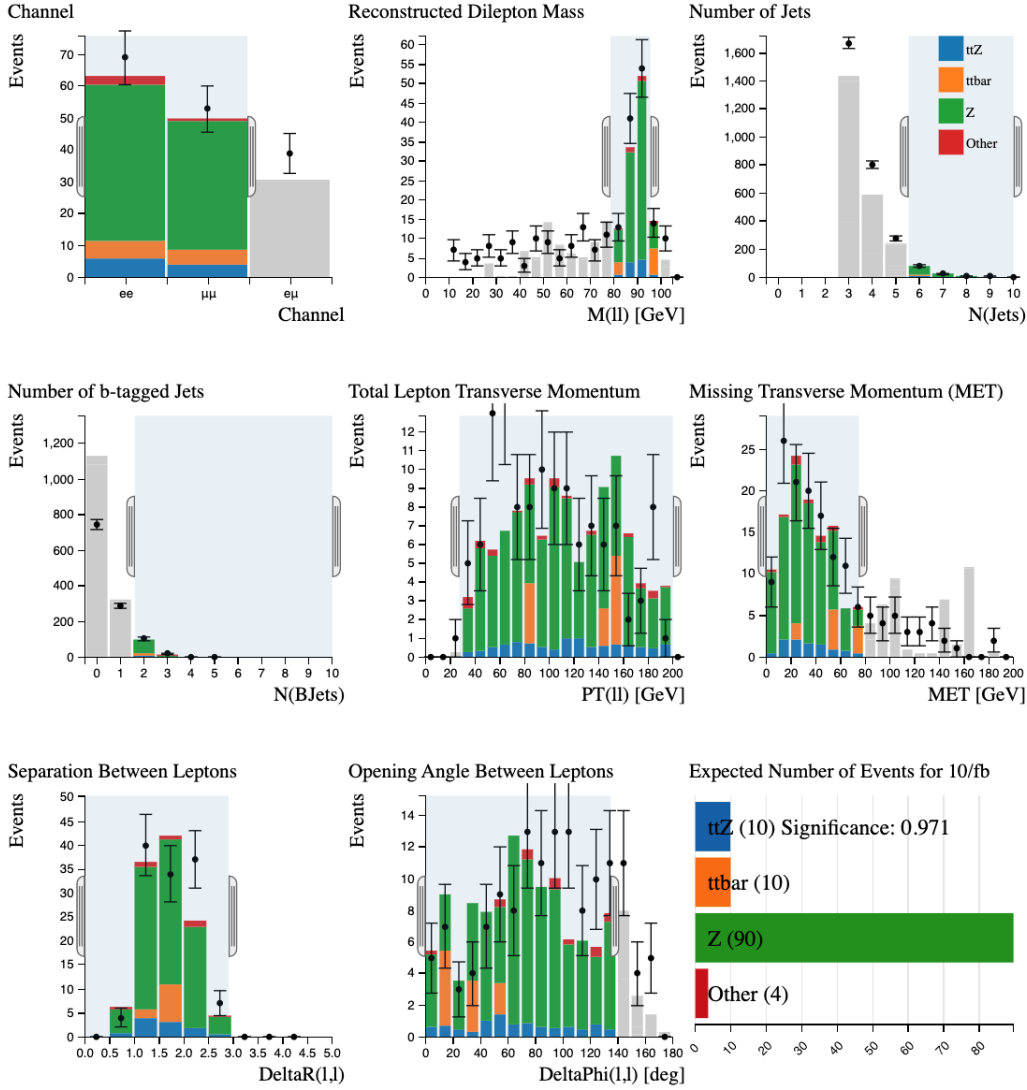


Figure 7.2.7:  $t\bar{t}Z$  Histogram Analyser after applying selections for the  $t\bar{t}Z$   $2\ell$ -Z-2b $_6$ j region. A significance of 0.971 is achieved.

No further changes in selection for any histogram increases the significance over 0.971. This indicates that the selections on Channel,  $M(\ell\ell)$ ,  $N(\text{Jets})$  and  $N(\text{BJets})$  are optimal in terms of signal region definition for  $2\ell$ -Z-2b $_6$ j, as is the case for  $t\bar{t}Z$   $2\ell$ OS papers published by ATLAS [36]. The fact that the maximum significance achievable from defining a looser signal region of  $N(\text{Jets}) \geq 5$  and  $N(\text{BJets}) \geq 1$  indicates that the approach of defining separate signal regions can achieve higher significance than a looser signal region, e.g. with at least 5 jets rather than at least 6 jets. The significances of the separate signal regions can then be combined together to achieve a greater significance for  $t\bar{t}Z$   $2\ell$ OS.

### 7.2.7 Selections for $2\ell$ -Z-2b $_5$ j

To achieve a greater significance for  $t\bar{t}Z$   $2\ell$ OS by combining signal regions, the same process can be applied to the  $2\ell$ -Z-2b $_5$ j signal region of Table 5.2.2 to find a significance of 0.380, shown in Figure 7.2.8. The selections for the  $t\bar{t}Z$   $2\ell$ OS channel  $2\ell$ -Z-2b $_5$ j region are shown in Table 7.2.3, along with the background they most help reduce. Significance achieved after making each selection sequentially is also shown in Table 7.2.3.

Variable	Selection	To reduce	Significance afterwards
Channel	$e^+e^-$ or $\mu^+\mu^-$	$t\bar{t}$	0.197
M(l)	$80 < M(l) < 100$ GeV	$t\bar{t}$	0.179
N(Jets)	$=5$	Z	0.212
N(BJets)	$\geq 2$	Z	0.329
PT(l)	$>100$ GeV	Z	0.350
MET	$<130$ GeV	$t\bar{t}$	0.360
DeltaPhi(l,l)	$<90^\circ$	Z	0.380

Table 7.2.3: Selections for the  $t\bar{t}Z$   $2\ell$ OS Histogram Analyser  $2\ell$ -Z-2b5j region, along with the background process that each selection most helps reduce, and the significance achieved after making each selection. Significance quoted is by applying these selections in order.

### 7.2.8 Selections for $2\ell$ -Z-1b6j

The same process can be applied to the  $2\ell$ -Z-1b6j signal region of Table 5.2.2 to find a maximum significance of 0.488, shown in Figure 7.2.9. The selections for the  $t\bar{t}Z$   $2\ell$ OS channel  $2\ell$ -Z-1b6j region are shown in Table 7.2.4, along with the background they most help reduce. Significance achieved after making each selection sequentially is also shown in Table 7.2.4.

Variable	Selection	To reduce	Significance afterwards
Channel	$e^+e^-$ or $\mu^+\mu^-$	$t\bar{t}$	0.197
M(l)	$80 < M(l) < 100$ GeV	$t\bar{t}$	0.179
N(Jets)	$\geq 6$	Z	0.522
N(BJets)	$=1$	Z	0.472
PT(l)	$>20$ GeV	Z	0.483
DeltaR(l,l)	$<3$	Z	0.488

Table 7.2.4: Selections for the  $t\bar{t}Z$   $2\ell$ OS Histogram Analyser  $2\ell$ -Z-1b6j region, along with the background process that each selection most helps reduce, and the significance achieved after making each selection. Significance quoted is by applying these selections in order.

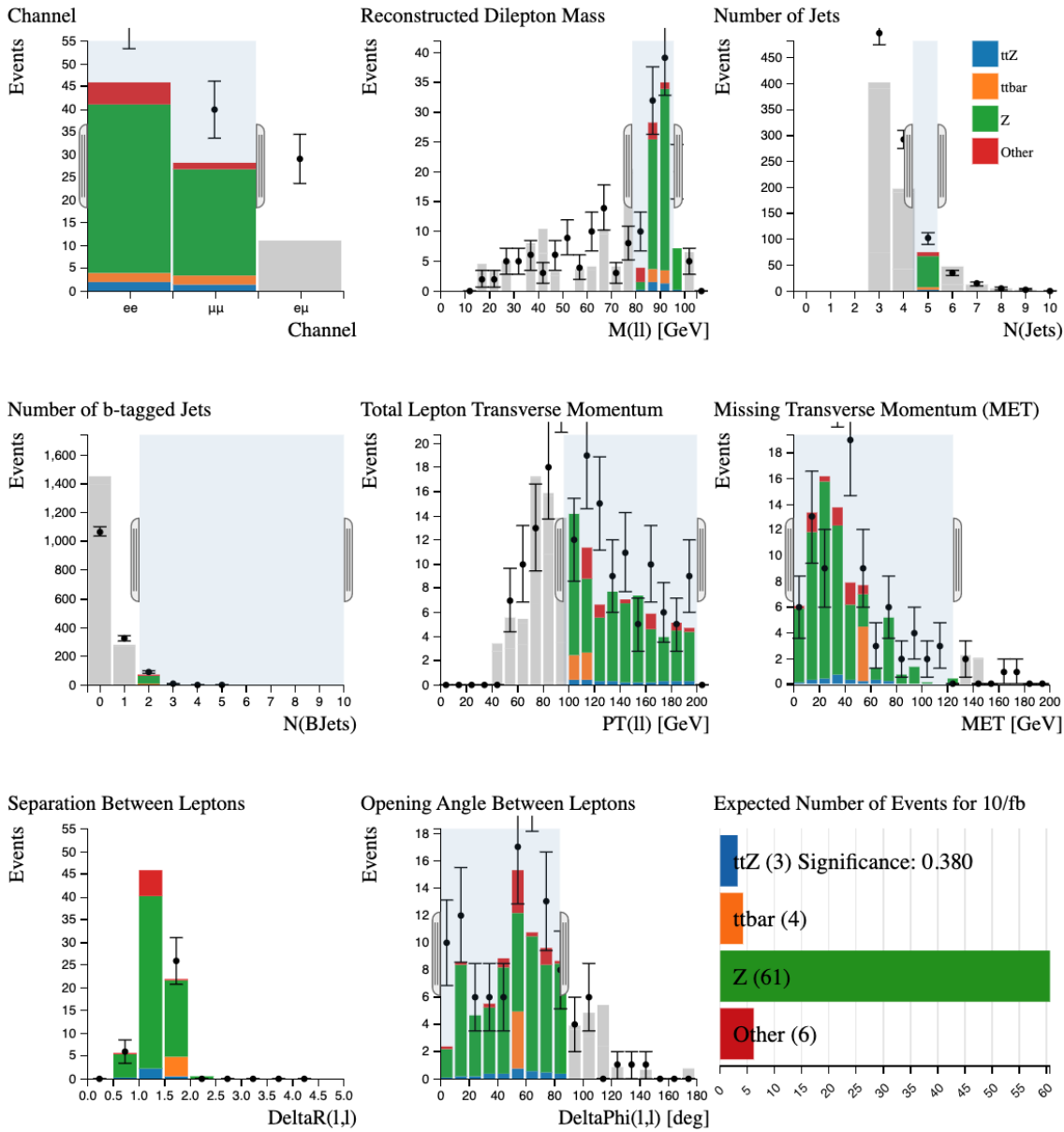


Figure 7.2.8:  $t\bar{t}Z$  Histogram Analyser after applying selections for the  $2\ell$ -Z-2b5j signal region and optimising each variable. A significance of 0.380 is achieved.

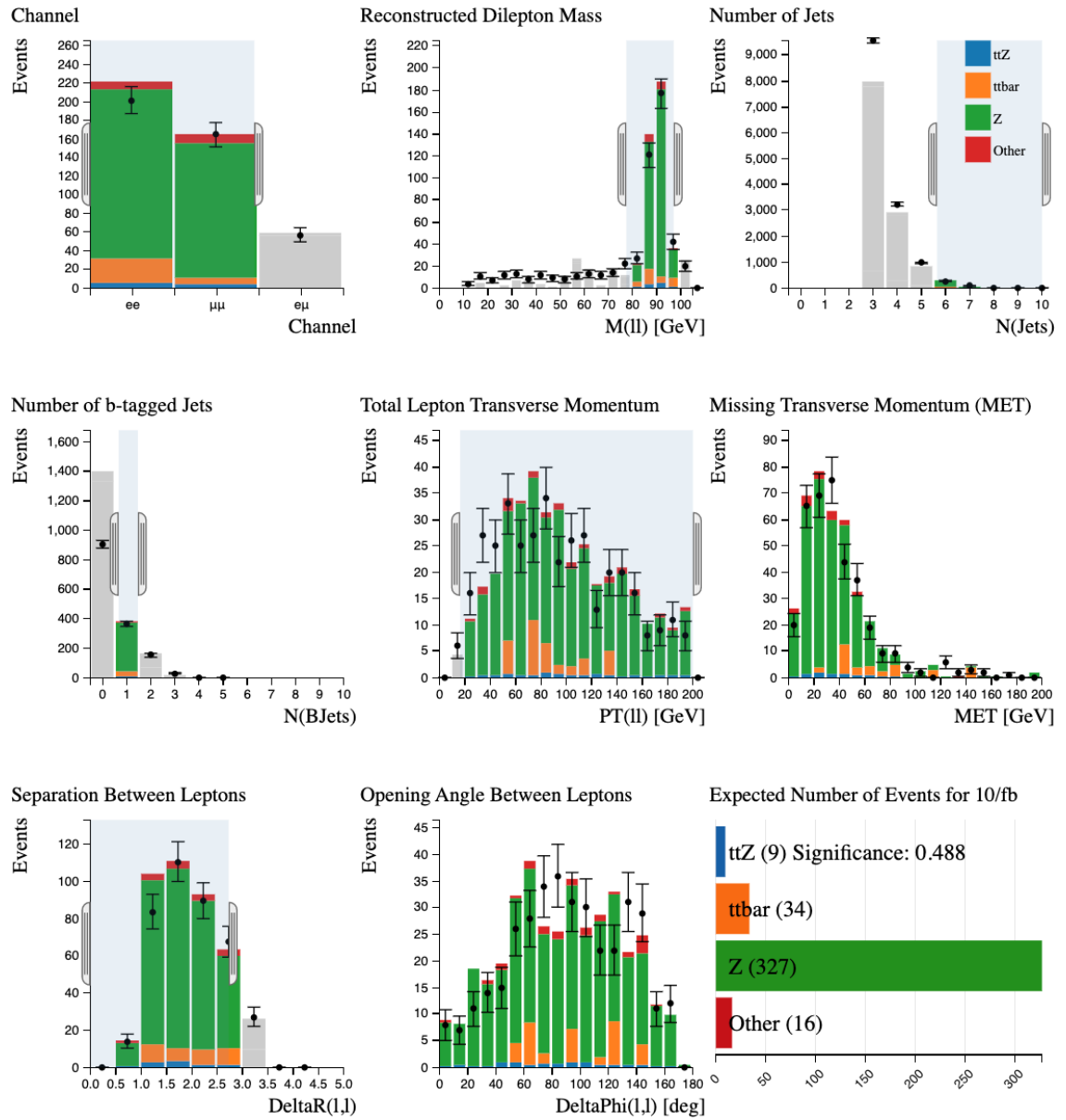


Figure 7.2.9:  $t\bar{t}Z$  Histogram Analyser after applying selections for the  $2\ell$ -Z-1b6j signal region and optimising each variable. A significance of 0.488 is achieved.

## 7.2.9 Conclusion

This study indicates that an [MVA](#) will likely select:

- high  $PT(l)$ ;
- low MET;
- low  $\Delta\Phi(l,l)$ ;
- low  $\Delta R(l,l)$ .

when building a signal-enriched region. No precise values can be given here because an [MVA](#) will optimise differently to the by-hand optimisation done in the Histogram Analyser. The fact that optimum selections for  $PT(l)$ , MET,  $\Delta R(l,l)$  and  $\Delta\Phi(l,l)$  are different in the 3 regions illustrates why [MVA](#) training is conducted separately in different regions - because different regions will yield different optimum selections.

## 7.3 Jupyter notebooks

Jupyter notebooks [[195](#)] are a key online resource to introduce programming and coding, providing a very suitable arena for using [ATLAS](#) data for education. Several notebooks based on the *t $\bar{t}$ Z 2 $\ell$ OS* process were developed, as discussed during this section. They are presented here in sequential order of increasing difficulty.

### 7.3.1 Introduction

The release of the 13 TeV ATLAS Open Data was accompanied by a set of Jupyter notebooks that allow data analysis to be performed directly in a web browser [[192](#), [196](#), [197](#)]. Several notebooks with analysis examples are available, including analyses of *t $\bar{t}$ Z*. The aim of many of these notebooks is to recreate published [ATLAS](#) results.

### 7.3.2 Analysis from csv

[csv](#) files are commonplace in data science outside of particle physics, therefore an analysis from [csv](#) files using [ATLAS](#) data is an opportunity to teach the transferrable skill of analysing [csv](#) files. As such, an example analysis starting from [csv](#) files and reproducing aspects of an [ATLAS](#) published result [[36](#)] is presented here.

#### Introduction

The [csv](#) analysis notebook [[198](#)] uses ATLAS Open Data to show the steps to implement [Machine Learning](#) in the *t $\bar{t}$ Z 2 $\ell$ OS* analysis, using the same input [csv](#) file as was used for the Histogram Analyser of Section [7.2](#). The steps taken throughout the notebook to recreate aspects of the [ATLAS](#) published result are:

1. tabulating the input data;
2. checking signal and background distributions for the variables present in the dataset;
3. checking separation between signal and background for the variables present in the dataset;

4. checking correlations between the variables present in the dataset;
5. training a [MVA](#);
6. checking for overtraining of the [MVA](#);
7. evaluating the performance of the [MVA](#).

### Selections

The fact that no  [\$t\bar{t}Z\$   \$2\ell OS\$  signal](#) is visible immediately means that some selections have to be made. These selections are given in [Table 7.3.1](#).

Reason	Code
$e^+e^-$ or $\mu^+\mu^-$	Channel!=2
Number of jets	NJets $\geq$ 5
Number of b-jets	N(BJets) $\geq$ 1
Close to Z mass	Mll - 91.12  < 10 GeV

Table 7.3.1: Initial selections applied to the input data in the Jupyter notebook introducing [ML](#) using  [\$t\bar{t}Z\$   \$2\ell OS\$  csv](#) data.

After the selections of [Table 7.3.1](#), a useful next step is to see how well signal and background are separated for each variable, and how high a signal-to-background ratio this can achieve. Such graphs are shown in [Figure 7.3.1](#). Only 2 from 7 of the input variables are shown, for brevity.

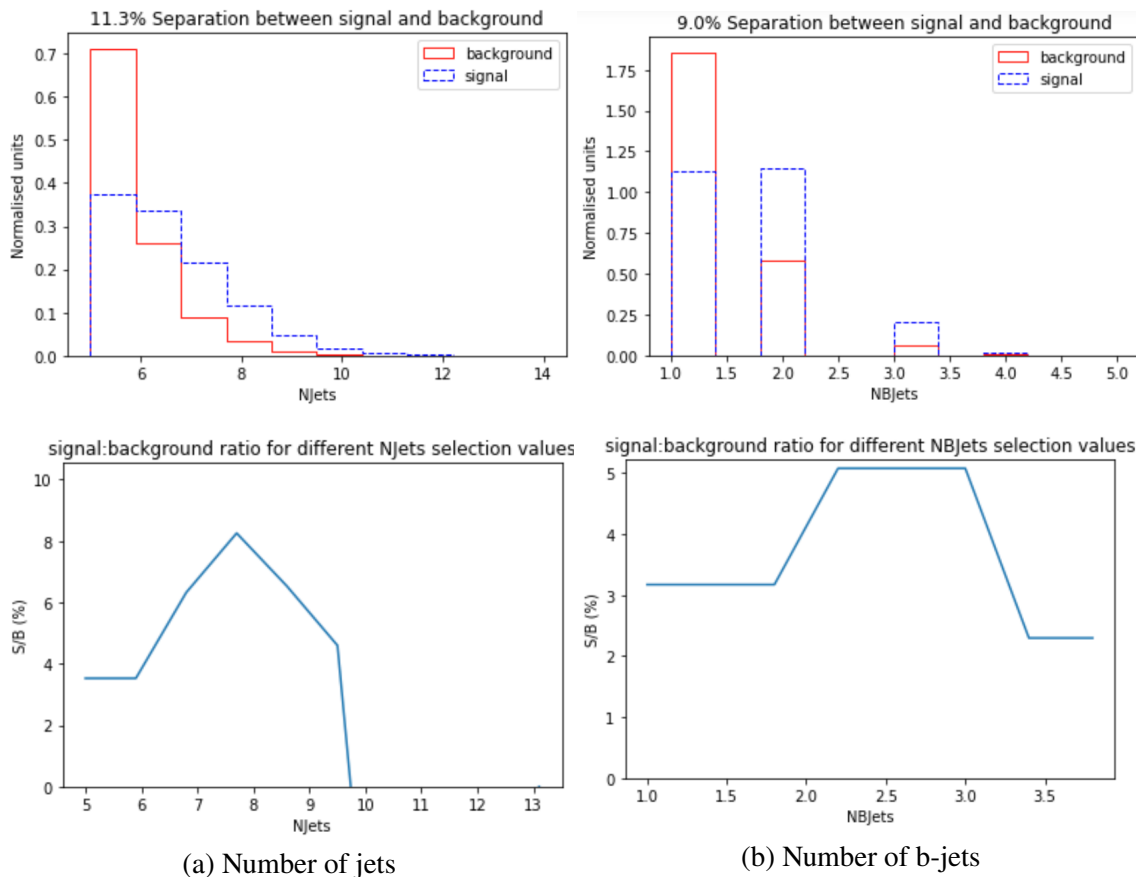


Figure 7.3.1: Separation between **signal** and **background** and **signal-to-background** ratio obtained by selecting above a particular value of the x-variable in question. Taking (a) Njets as an example, the starting x-value is 5. Taking the ratio of number of signal events with at least 5 jets, to the number of background events with at least 5 jets gives the S/B value at Njets=5 on the signal:background ratio plot (about 3.5%). Now imagine selecting only events with at least 7 jets. Taking the ratio of those events passing that selection gives the S/B value at Njets=7 on the signal:background ratio plot (about 6%). That is how the signal:background ratio plots are constructed.

### Introducing Machine Learning

**ML** is introduced as a way to construct a variable that can achieve higher separation between **signal** and **background** and **signal-to-background** ratios. To achieve highest separation, ideally all variables would be used in the **ML** technique. However, for example, *Mll* cannot be used since values around the *Z* mass were selected, therefore using this sculpted distribution would lead to overtraining. To be sure all the other variables can be used, the correlations between them need to be checked. If a pair of variables is fully correlated ( $=1.0$ ), using both would not add any new info. Having said this, some correlation is crucial, because this is what the **ML** technique exploits. No variable pair is correlated  $> 0.75$  (absolute value), therefore each variable can be used. With a correlation check complete, the separation and signal-to-background ratio achievable using the 'ML\_output' variable can be seen in Figure 7.3.2.



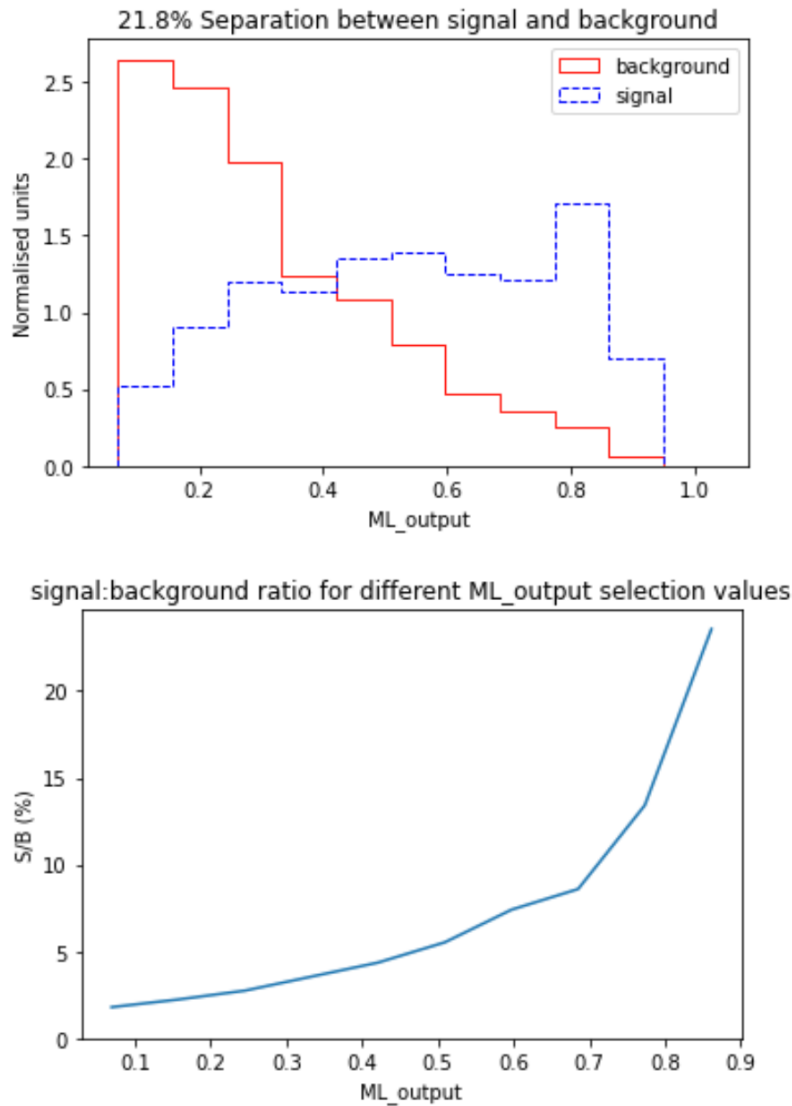


Figure 7.3.2: Separation between **signal** and **background** and **signal-to-background** ratio obtained by selecting above a particular value of ‘ML\_output’. The starting x-value is about 0.05. Taking the ratio of number of signal events with ML\_output > 0.05, to the number of background events with ML\_output > 0.05 gives the S/B value at ML\_output = 0.05 on the signal:background ratio plot (about 2%). Now imagine selecting only events with ML\_output > 0.6. Taking the ratio of those events passing that selection gives the S/B value at ML\_output=0.6 on the signal:background ratio plot (about 8%). That is how the signal:background ratio plots are constructed.

### ML\_output compared to individual variables

The separation and S/B shown in Figure 7.3.2 is better than any of the individual variables of Figure 7.3.1 could ever have achieved. Recalling that *t $\bar{t}$ Z 2 $\ell$ OS signal* nominally produces at least 6 jets, including at least 2 b-jets, allows a further selection to be made, in an attempt to uncover some significant *t $\bar{t}$ Z 2 $\ell$ OS signal*.

### Conclusion to the csv exploration notebook

After applying further selections, a significant amount of *t $\bar{t}$ Z 2 $\ell$ OS signal* can be seen above 0.8 in the ML\_output distribution. Selecting ML\_output > 0.8 would mostly eliminate background and achieve S/B 15%, as can be seen from Figure 7.3.2.

This technique of isolating signal at high  $ML\_output$  allows to make precise measurements of the  $t\bar{t}Z$   $2\ell OS$  signal process. In summary, this notebook introducing  $ML$  using  $t\bar{t}Z$  shows that:

- putting data into an  $ML$  technique means only one variable has to be optimised;
- signal and background distributions are separated more when looking at  $ML$  output;
- $ML$  achieves higher S/B than individual variables, because it finds multi-dimension correlations that give better S/B classification.

### 7.3.3 Full analysis

Having shown a simplified  $t\bar{t}Z$   $2\ell OS$  analysis from `csv` files, similar principles can be extended to an analysis that fully reproduces a published [ATLAS](#) result [36]. The added complexity compared to the notebook of Section 7.3.2 includes:

- separating the analysis into 3 different signal regions;
- defining control regions;
- creating data-driven background estimates;
- ranking  $MVA$  input variables.

#### Introduction

The notebook presenting a full  $t\bar{t}Z$   $2\ell OS$  analysis [199] uses ATLAS Open Data to show the steps to implement [Machine Learning](#) in the  $t\bar{t}Z$   $2\ell OS$  analysis, following the ATLAS published paper “Measurement of the  $t\bar{t}Z$  and  $t\bar{t}W$  cross sections in proton-proton collisions at  $\sqrt{s} = 13$  TeV with the ATLAS detector” [36]. In particular, this notebook aims to recreate plots from Ref. [36] using a simplified  $ML$  workflow. The first plot that can be recreated is shown in Figure 7.3.3. Similar plots to Figure 7.3.3 are recreated for the  $2\ell$ -Z-2b5j and  $2\ell$ -Z-1b6j regions.

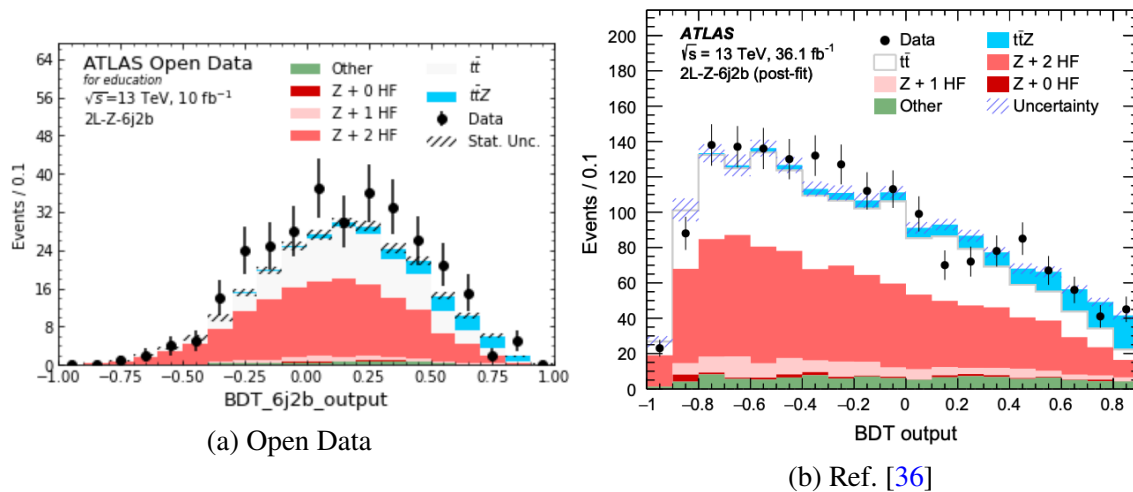


Figure 7.3.3:  $BDT$  output distributions in the signal region  $2\ell$ -Z-2b6j (here called 6j2b) using (a) ATLAS Open Data, (b) Ref. [36]. Considering the differences in the amount of data and the fact that not every detail from an ATLAS paper can be followed, the Open Data can reproduce this ATLAS result well. The ‘Other’ background contains SM processes with small cross sections producing two opposite-sign prompt leptons. The shaded band represents the total uncertainty. The last bin of each distribution contains the overflow.

## Control regions

Plots in control regions can also be recreated, shown in Figure 7.3.4 for  $2\ell$ -Z-2b6j as an example. Equivalent plots for the  $2\ell$ -Z-2b5j and  $2\ell$ -Z-1b6j are also recreated.

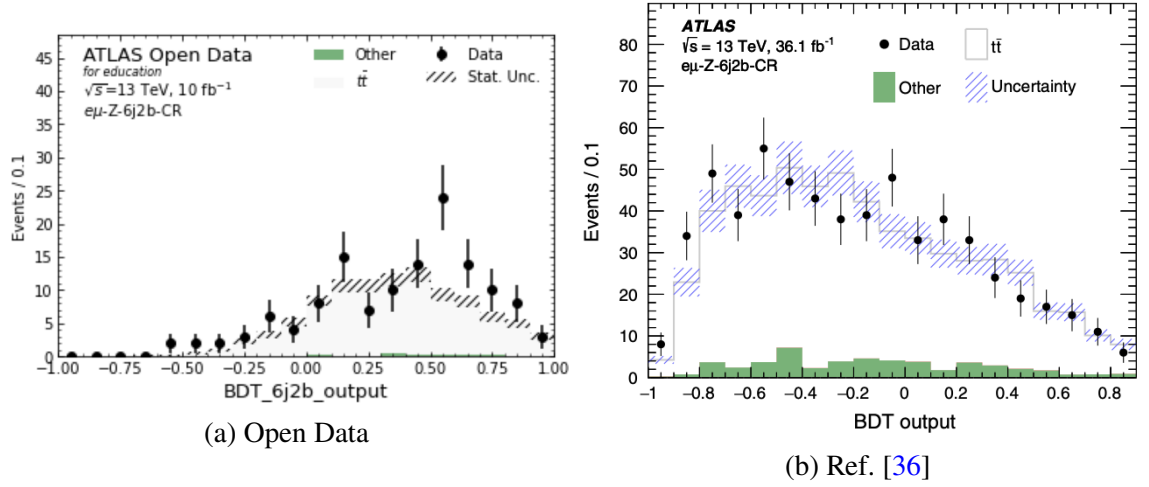


Figure 7.3.4: BDT output distributions in the  $t\bar{t}$  control region of  $2\ell$ -Z-2b6j (here called 6j2b) using (a) ATLAS Open Data, (b) Ref. [36]. Considering the differences in the amount of data and the fact that not every detail from an ATLAS paper can be followed, the Open Data can reproduce this ATLAS result well. The ‘Other’ background contains SM processes with small cross sections producing two opposite-sign prompt leptons, including the  $t\bar{t}Z$  process, whose contribution is negligible. The shaded band represents the total uncertainty. The last bin of each distribution contains the overflow.

## Data-driven $t\bar{t}$ estimates

The  $t\bar{t}$  control regions exemplified in Figure 7.3.4 can then be used to build data-driven estimates of the  $t\bar{t}$  contribution, rather than using the MC estimates in subfigure (a) of Figure 7.3.3.

## Ranking input variables

Another result from Ref. [36] that can be recreated is Table 11, showing the definitions and ranking of input variables for the BDT. This comparison is shown in Figure 7.3.5.

Definition	6j1b	5j2b	6j2b	Definition	6j1b	5j2b	6j2b
$p_T$ of the lepton pair	15	14	15	$p_T$ of the lepton pair	8	11	8
$p_T$ of the 4th jet	5	1	8	$p_T$ of the 4th jet	6	12	6
$p_T$ of the 5th jet	-	8	-	$p_T$ of the 5th jet	-	14	-
$p_T$ of the 6th jet	2	-	2	$p_T$ of the 6th jet	9	-	11
$\Delta R_\eta$ between the two leptons	6	4	7	$\Delta R_\eta$ between the two leptons	7	8	12
Number of jet pairs with mass within a window of 30 GeV around 85 GeV	1	2	3	Number of jet pairs with mass within a window of 30 GeV around 85 GeV	4	6	4
Number of top-quark candidates	-	-	1	Number of top-quark candidates	-	-	17
Invariant mass of the two jets with the smallest $\Delta R_\eta$	13	10	17	Invariant mass of the two jets with the smallest $\Delta R_\eta$	13	7	14
Invariant mass of the two untagged jets with the highest $p_T$	9	11	-	Invariant mass of the two untagged jets with the highest $p_T$	15	13	-
Invariant mass of the two jets with the highest value of the b-tagging discriminant	-	5	4	Invariant mass of the two jets with the highest value of the b-tagging discriminant	-	10	9
Scalar sum of $p_T$ divided by the sum of energy of all jets	14	13	16	Scalar sum of $p_T$ divided by the sum of energy of all jets	2	1	2
Average $\Delta R_\eta$ of all jet pairs	11	3	10	Average $\Delta R_\eta$ of all jet pairs	5	4	5
Maximum invariant mass of a lepton and the b-tagged jet with the smallest $\Delta R_\eta$	10	-	13	Maximum invariant mass of a lepton and the b-tagged jet with the smallest $\Delta R_\eta$	14	-	13
First Fox-Wolfram moment built from jets and leptons	12	12	14	First Fox-Wolfram moment built from jets and leptons	3	2	1
Sum of jet $p_T$ , using up to six jets	4	6	5	Sum of jet $p_T$ , using up to six jets	12	5	10
$\eta$ of dilepton system	3	9	9	$\eta$ of dilepton system	1	3	3
Sum of the two closest two-jet invariant masses from $j_{j1}$ and $j_{j2}$ divided by two	7	-	11	Sum of the two closest two-jet invariant masses from $j_{j1}$ and $j_{j2}$ divided by two	10	-	15
$\Delta R_\eta$ between two jets with the highest value of the b-tagging discriminant in the event	-	7	6	$\Delta R_\eta$ between two jets with the highest value of the b-tagging discriminant in the event	-	9	7
$p_T$ of the b-tagged jet with the highest $p_T$	8	-	12	$p_T$ of the b-tagged jet with the highest $p_T$	11	-	16

(a) Open Data

(b) Ref. [36]

Figure 7.3.5: The definitions and ranking of input variables for the BDT in the  $t\bar{t}Z$   $2\ell OS$  analysis. (a) ATLAS Open Data, (b) Ref. [36]. Some similarities can be seen between (a) and (b), for example “Number of jet pairs with mass within a window of 30 GeV around 85 GeV” ranking rather highly for both. Differences between (a) and (b) can also be seen, for example “Scalar sum of  $p_T$  divided by the sum of energy of all jets” ranking highly for (b) but not so highly for (a). Jets and leptons are ordered in descending order of  $p_T$ . Only the first eight jets are considered when calculating the input variables.

## Conclusion to the full analysis notebook

Using ATLAS Open Data, a full analysis of the  $t\bar{t}Z$  process can be undertaken, reproducing simplified versions of the results from an ATLAS published paper [36]. Signal and control region plots can be reproduced in the same format as the ATLAS published paper [36]. The method of obtaining data-driven  $t\bar{t}$  estimates used in the ATLAS published paper [36] can also be reproduced using ATLAS Open Data. The ranking of most important variables in the MVA with ATLAS Open Data in the  $t\bar{t}Z$   $2\ell OS$  channel show similarities to the ranking of the most important variables in the MVA from the ATLAS published paper [36].

## 7.4 Comparisons with full ATLAS data

This section compares results from Section 7.2 and Section 7.3.3 using  $10 \text{ fb}^{-1}$  of ATLAS Open Data in simplified analyses to Section 6 using  $139.0 \text{ fb}^{-1}$  of full Run 2 ATLAS data in a full analysis. Results will be compared in terms of:

- ranking of variables by the MVAs;
- statistical significance achievable.

### 7.4.1 Comparison of variable ranking between Open Data and binary BDTs

Table 6.1.2 ranking input variables using BDTs with Full Run 2 data can be compared side-by-side with the information from Figure 7.3.5 ranking input variables using BDTs with ATLAS Open Data. This comparison is shown in Table 7.4.1. A number of similarities can be seen, e.g.  $N_{jj}^{Vmass}$  is ranked within the top 4 in each of the six BDTs, or that  $p_T^{\text{ll}}$  is ranked within the bottom 3 in each of the six BDTs. However, differences can be seen also, perhaps the most stark being that  $N_{bjj}^{\text{top-mass}}$

is ranked 1st in the 2b6j Open Data [BDT](#) yet 16th in the 2b6j Full Run 2 [BDT](#). This suggests that some variables are important over a range of amount of data available, whereas other variables only become more important when more data are available.

rank	1b6j		2b5j		2b6j	
	Open Data	Full Run 2	Open Data	Full Run 2	Open Data	Full Run 2
1	$N_{jj}^{Vmass}$	$H_T^{6jets}$	$p_T^{4jet}$	$H_T^{6jets}$	$N_{bjj}^{top-mass}$	$H_T^{6jets}$
2	$p_T^{6jet}$	$\eta_{ll}$	$N_{jj}^{Vmass}$	$\Delta R_{jj}^{ave}$	$p_T^{6jet}$	$\Delta R_{ll}$
3	$\eta_{ll}$	$N_{jj}^{Vmass}$	$\Delta R_{jj}^{ave}$	$N_{jj}^{Vmass}$	$N_{jj}^{Vmass}$	$\eta_{ll}$
4	$H_T^{6jets}$	$p_T^{b1}$	$\Delta R_{ll}$	$M_{bb}^{pTord}$	$M_{bb}^{pTord}$	$N_{jj}^{Vmass}$
5	$p_T^{4jet}$	$MaxM_{lepb}^{mindR}$	$M_{bb}^{pTord}$	$M_{jj}^{mindR}$	$H_T^{6jets}$	$\Delta R_{ave}^{ll}$
6	$\Delta R_{ll}$	$M_{jj}^{mindR}$	$H_T^{6jets}$	$\Delta R_{ll}$	$\Delta R_{bb}$	$\Delta R_{bb}$
7	$M_W^{avg}$	$p_T^{4jet}$	$\Delta R_{bb}$	$\Delta R_{bb}$	$\Delta R_{ll}$	$p_T^{6jet}$
8	$p_T^{b1}$	$\Delta R_{ll}$	$p_T^{5jet}$	$p_T^{4jet}$	$p_T^{4jet}$	$MaxM_{lepb}^{mindR}$
9	$M_{uu}^{pTord}$	$p_T^{6jet}$	$\eta_{ll}$	$\eta_{ll}$	$\eta_{ll}$	$M_W^{avg}$
10	$MaxM_{lepb}^{mindR}$	$M_W^{avg}$	$M_{jj}^{mindR}$	$p_T^{5jet}$	$\Delta R_{jj}^{ave}$	$M_{jj}^{mindR}$
11	$\Delta R_{jj}^{ave}$	$Centr_{jet}$	$M_{uu}^{pTord}$	$M_{uu}^{pTord}$	$M_W^{avg}$	$p_T^{4jet}$
12	H1	H1	H1	H1	$p_T^{b1}$	$M_{bb}^{pTord}$
13	$M_{jj}^{mindR}$	$M_{uu}^{pTord}$	$Centr_{jet}$	$p_T^{ll}$	$MaxM_{lepb}^{mindR}$	$Centr_{jet}$
14	$Centr_{jet}$	$\Delta R_{jj}^{ave}$	$p_T^{ll}$	$Centr_{jet}$	H1	H1
15	$p_T^{ll}$	$p_T^{ll}$			$p_T^{ll}$	$p_T^{b1}$
16					$Centr_{jet}$	$N_{bjj}^{top-mass}$
17					$M_{jj}^{mindR}$	$p_T^{ll}$

Table 7.4.1: Comparison of ranking of the variables used for [BDT](#) training, when using a single [BDT](#) per [2ℓOS](#) region. The comparison is performed between the [BDTs](#) using [ATLAS](#) Open Data and the [BDTs](#) using Full Run 2 data.

## 7.4.2 Comparison of variable ranking between Open Data and binary [DNNs](#)

Figure 6.2.1 ranking variables using [DNNs](#) with Full Run 2 data can be compared side-by-side with the information from Figure 7.3.5 ranking variables using [BDTs](#) with [ATLAS](#) Open Data. This comparison is shown in Table 7.4.2. A number of similarities can be seen, e.g.  $N_{jj}^{Vmass}$  is ranked within the top 3 in each of the six [MVA](#)s, or that  $p_T^{ll}$  is ranked within the bottom 3 in each of the six [MVA](#)s. However, differences can be seen also, perhaps the most stark being that  $p_T^{6jet}$  and  $p_T^{5jet}$  are ranked much higher in the Open Data [BDTs](#) than they are in the Full Run 2 [DNNs](#). This again suggests that some variables are important over a range of amount of data available, whereas other variables only become more important when more data are available.

rank	1b6j		2b5j		2b6j	
	Open Data	Full Run 2	Open Data	Full Run 2	Open Data	Full Run 2
1	$N_{jj}^{Vmass}$	$H_T^{6jets}$	$p_T^{4jet}$	$H_T^{6jets}$	$N_{bjj}^{top-mass}$	$H_T^{6jets}$
2	$p_T^{6jet}$	$\eta_{ll}$	$N_{jj}^{Vmass}$	$\Delta R_{ll}$	$p_T^{6jet}$	$N_{jj}^{Vmass}$
3	$\eta_{ll}$	$N_{jj}^{Vmass}$	$\Delta R_{jj}^{ave}$	$Centr_{jet}$	$N_{jj}^{Vmass}$	$\Delta R_{ll}$
4	$H_T^{6jets}$	H1	$\Delta R_{ll}$	$N_{jj}^{Vmass}$	$M_{bb}^{pTord}$	$N_{bjj}^{top-mass}$
5	$p_T^{4jet}$	$\Delta R_{ll}$	$M_{bb}^{pTord}$	$M_{bb}^{pTord}$	$H_T^{6jets}$	$Centr_{jet}$
6	$\Delta R_{ll}$	$p_T^{4jet}$	$H_T^{6jets}$	H1	$\Delta R_{bb}$	$\Delta R_{bb}$
7	$M_W^{avg}$	$Centr_{jet}$	$\Delta R_{bb}$	$\Delta R_{jj}^{ave}$	$\Delta R_{ll}$	$p_T^{4jet}$
8	$p_T^{b1}$	$p_T^{b1}$	$p_T^{5jet}$	$p_T^{4jet}$	$p_T^{4jet}$	H1
9	$M_{uu}^{pTord}$	$p_T^{6jet}$	$\eta_{ll}$	$M_{jj}^{mindR}$	$\eta_{ll}$	$p_T^{6jet}$
10	$MaxM_{lepb}^{mindR}$	$\Delta R_{jj}^{ave}$	$M_{jj}^{mindR}$	$\Delta R_{bb}$	$\Delta R_{jj}^{ave}$	$\eta_{ll}$
11	$\Delta R_{jj}^{ave}$	$M_W^{avg}$	$M_{uu}^{pTord}$	$p_T^{5jet}$	$M_W^{avg}$	$p_T^{b1}$
12	H1	$MaxM_{lepb}^{mindR}$	H1	$\eta_{ll}$	$p_T^{b1}$	$M_{bb}^{pTord}$
13	$M_{jj}^{mindR}$	$M_{jj}^{mindR}$	$Centr_{jet}$	$p_T^{ll}$	$MaxM_{lepb}^{mindR}$	$M_W^{avg}$
14	$Centr_{jet}$	$p_T^{ll}$	$p_T^{ll}$	$M_{uu}^{pTord}$	H1	$\Delta R_{jj}^{ave}$
15	$p_T^{ll}$	$M_{uu}^{pTord}$			$p_T^{ll}$	$p_T^{ll}$
16					$Centr_{jet}$	$M_{jj}^{mindR}$
17					$M_{jj}^{mindR}$	$MaxM_{lepb}^{mindR}$

Table 7.4.2: Comparison of ranking of the variables used for **MVA** training. The comparison is performed between the **BDTs** using **ATLAS** Open Data and the initial **DNNs** using Full Run 2 data.

### 7.4.3 Statistical significance comparison between Histogram Analyser and initial multiclass **DNN**

The statistical significance from Figure 6.2.5 can be compared to the significance achievable from the Histogram Analyser discussed in Section 7.2, whose final significances are shown in Figure 7.2.7, Figure 7.2.8 and Figure 7.2.9 for the  $2\ell$ -Z-2b6j,  $2\ell$ -Z-2b5j and  $2\ell$ -Z-1b6j channels respectively. This comparison is shown in Table 7.4.3. The Histogram Analyser only uses about 1/14th of the data used for the **DNNs** of Section 6.3 as this is all of the 13 TeV data currently made open by **ATLAS**. A more direct comparison can be made by scaling the Histogram Analyser significances by the square root of the ratio between the full Run 2 luminosity and the luminosity used in **ATLAS** Open Data,  $\sqrt{139.0/10}$ , because statistical significance scales with the square root of number of events. Even the scaled statistical significances achievable by the Histogram Analyser are about 2.5 times less than the statistical significances achievable by the **DNNs**. This hints at the power of **DNNs** in optimising for statistical significance in the  $t\bar{t}Z$   $2\ell OS$  analysis, compared to a cut-and-count analysis.

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Channel	Histogram Analyser significance	Histogram Analyser significance (scaled)	DNN significance
2b6j	0.971 (Figure 7.2.7)	3.620	10.8
2b5j	0.380 (Figure 7.2.8)	1.417	4.9
1b6j	0.488 (Figure 7.2.9)	1.819	4.5

Table 7.4.3: A comparison of the statistical significance that can be achieved using the DNNs of Section 6.3, with the Histogram Analyser of Section 7.2. It is important to remember that the Histogram Analyser uses about 1/14th of the data used for the DNNs of Section 6.3.





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